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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/631,302	07/31/2003	Joel B. Christian	00-2-036CON1	1872	
24252 75	90 03/02/2004		EXAM	INER	
OSRAM SYL			HAILEY, PA	ATRICIA L	
100 ENDICOT DANVERS, M			ART UNIT	PAPER NUMBER	
Diff(v Litto, 10)			1755		
			DATE MAILED: 03/02/2004		

Please find below and/or attached an Office communication concerning this application or proceeding.

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TC 1700

- -	Application No.	Applicant(s)	Λ
Office Action Cummons	10/631,302	CHRISTIAN ET AL.	
Office Action Summary	Examiner	Art Unit	
	Patricia L. Hailey	1755	÷,+
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address	
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period we Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	66(a). In no event, however, may a reply be tin within the statutory minimum of thirty (30) day ill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed  s will be considered timely. the mailing date of this communic	cation.
Status			
1) Responsive to communication(s) filed on 31 Ju	ly 2003.		
	action is non-final.	•	
3) Since this application is in condition for allowan	ce except for formal matters, pro	secution as to the meri	ts is
closed in accordance with the practice under E.		•	
Disposition of Claims			
4)⊠ Claim(s) <u>1-9 and 40-45</u> is/are pending in the ap	unlication		
4a) Of the above claim(s) is/are withdraw	•		
5) Claim(s) is/are allowed.	m nom consideration.		
6)⊠ Claim(s) <u>1-9 and 40-45</u> is/are rejected.	•		
7) Claim(s) is/are objected to.			
8) Claim(s) are subject to restriction and/or	election requirement.		
Application Papers			
9) The specification is objected to by the Examiner			
10) The drawing(s) filed on is/are: a) acce		- - - - -	
Applicant may not request that any objection to the d	-	·	
Replacement drawing sheet(s) including the correction			21(d)
11) The oath or declaration is objected to by the Exa			
Priority under 35 U.S.C. § 119			
12)☐ Acknowledgment is made of a claim for foreign part a)☐ All b)☐ Some * c)☐ None of:	oriority under 35 U.S.C. § 119(a)	-(d) or (f).	
1. Certified copies of the priority documents	have been received.		
2. Certified copies of the priority documents			
3. Copies of the certified copies of the priori	-	d in this National Stage	:
application from the International Bureau			
* See the attached detailed Office action for a list of	of the certified copies not received	d.	
•			
Attachment(s)		·	
) Notice of References Cited (PTO-892)	4) Interview Summary (	(PTO-413)	
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No(s)/Mail Da	te	
Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	5)  Notice of Informal Pa	atent Application (PTO-152)	

**Art Unit: 1755** 

Applicants' Preliminary Amendment, filed on July 31, 2003, has been made of record and entered. In this amendment, claims 10-39 have been canceled, and new claims 40-45 have been added. Claims 1-9 and 40-45 are now pending in this application.

### Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-9 and 40-45 are rejected under 35 U.S.C. 102(b) as being anticipated by Savadogo et al. (U. S. Patent No. 5,298,343).

Savadogo et al. teach electrocatalysts suitable for use at the cathode for electrochemical and fuel cells. The electrocatalysts comprise a metallic component (selected from the group consisting of palladium, platinum, ruthenium, rhodium, iridium, and osmium) dispersed on a suitable conductive carrier (e.g., carbon), and a chemical component (selected from the group consisting of tungstic acid, molybdic acid, ammonium tungstate, ammonium molybdate, sodium tungstate, and sodium molybdate). The metallic component is dispersed on the conductive carrier, and the chemical component is admixed therewith. See col. 3, lines 17-36 and lines 42-63 of Savadogo et al., as well as col. 3, line 65 to col. 4, line 38.

Note that some of the chemical components disclosed in Savadogo et al. are those recited in Applicants' claims 40-45.

The chemical component is present in the electrocatalysts in amounts varying from 0.1% to 90% depending on the percentage desired for the final catalysts. See col. 6, line 12-55 of Savadogo et al., especially lines 12-16, lines 29-33, and lines 44-51.

Art Unit: 1755

The claim limitations regarding the characteristics of Applicants' "tungsten-containing catalyst" (e.g., claims 1-7) are considered inherently taught by Savadogo et al., given that this reference teaches "chemical components" that read upon the instant claims, as well as a conductive carrier that reads on the claimed "carbon support".

### Conclusion

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner

should be directed to Patricia L. Hailey whose telephone number is (571) 272-1369. The examiner can

normally be reached on Mondays-Thursdays.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mark L. Bell can be reached on (571) 272-1362. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the Group 1700 Receptionist, whose telephone number is (571) 272-1700.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

atricia L. Hailey/plh

Examiner, Art Unit 1755

February 18, 2004

Mark L. Bell

Supervisory Patent Examiner Technology Center 1700

### **Notice of References Cited**

Application/Control No.

10/631,302

Examiner

Patricia L. Hailey

Applicant(s)/Patent Under
Reexamination
CHRISTIAN ET AL.

Art Unit
Page 1 of 1

### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-5,298,343	03-1994	Savadogo et al.	429/44
*	В	US-6,656,870	12-2003	Christian et al.	502/180
*	С	US-3,784,412	01-1974	Mund et al.	502/101
*	D	US-6,183,894	02-2001	Adzic et al.	429/13
.*	Е	US-6,551,569	04-2003	Christian et al.	423/440
*	F	US-2003/0059666	03-2003	Kourtakis, Kostantinos	429/40
*	G	US-3,615,840	10-1971	Wolfe, Jr., William R.	429/44
*	н	US-4,481,303	11-1984	McIntyre et al.	502/159
*	ı	US-3,902,917	09-1975	Baresel et al.	423/440
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### FOREIGN PATENT DOCUMENTS

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#### **NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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	V	
	W	
	x	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20040218

2/18/04

# NOTICE OF OFFICE PLAN TO CEASE SUPPLYING COPIES OF CITED U.S. PATENT REFERENCES WITH OFFICE ACTIONS, AND PILOT TO EVALUATE THE ALTERNATIVE OF PROVIDING ELECTRONIC ACCESS TO SUCH U.S. PATENT REFERENCES

### **Summary**

The United States Patent and Trademark Office (Office or USPTO) plans in the near future to: (1) cease mailing copies of U.S. patents and U.S. patent application publications (US patent references) with Office actions except for citations made during the international stage of an international application under the Patent Cooperation Treaty and those made during reexamination proceedings; and (2) provide electronic access to, with convenient downloading capability of, the US patent references cited in an Office action via the Office's private Patent Application Information Retrieval (PAIR) system which has a new feature called "E-Patent Reference." Before ceasing to provide copies of U.S. patent references with Office actions, the Office shall test the feasibility of the E-Patent Reference feature by conducting a two-month pilot project starting with Office actions mailed after December 1, 2003. The Office shall evaluate the pilot project and publish the results in a notice which will be posted on the Office's web site (www.USPTO.gov) and in the Patent Official Gazette (O.G.). In order to use the new E-Patent Reference feature during the pilot period, or when the Office ceases to send copies of U.S. patent references with Office actions, the applicant must: (1) obtain a digital certificate from the Office; (2) obtain a customer number from the Office, and (3) properly associate applications with the customer number. The pilot project does not involve or affect the current Office practice of supplying paper copies of foreign patent documents and non-patent literature with Office actions. Paper copies of references will continue to be provided by the USPTO for searches and written opinions prepared by the USPTO for international applications during the international stage and for reexamination proceedings.

## Description of Pilot Project to Provide Electronic Access to Cited U.S. Patent References

On December 1, 2003, the Office will make available a new feature, E-Patent Reference, in the Office's private PAIR system, to allow more convenient downloading of U.S. patents and U.S. patent application publications. The new feature will allow an authorized user of private PAIR to download some or all of the U.S. patents and U.S. patent application publications cited by an examiner on form PTO-892 in Office actions, as well as U.S. patents and U.S. patent application publications submitted by applicants on form PTO/SB08 (1449) as part of an IDS. The retrieval of some or all of the documents may be performed in one downloading step with the documents encoded as Adobe Portable Document format (.pdf) files, which is an improvement over the current page-by-page retrieval capability from other USPTO systems.

### Steps to Use the New E-Patent Reference Feature During the Pilot Project and Thereafter

Access to private PAIR is required to utilize E-Patent Reference. If you don't already have access to private PAIR, the Office urges practitioners, and applicants not represented by a practitioner, to take advantage of the transition period to obtain a no-cost USPTO Public Key Infrastructure (PKI) digital certificate, obtain a USPTO customer number, associate all of their pending and new application filings with their customer number, install no-cost software (supplied by the Office) required to access private PAIR and E-Patent Reference feature, and make appropriate arrangements for Internet access. The full instructions for obtaining a PKI digital certificate are available at the Office's Electronic Business Center (EBC) web page at: <a href="http://www.uspto.gov/ebc/downloads.html">http://www.uspto.gov/ebc/downloads.html</a>. Note that a notarized signature will be required to obtain a digital certificate.

To get a Customer Number, download and complete the Customer Number Request form, PTO-SB125, at: <a href="http://www.uspto.gov/web/forms/sb0125.pdf">http://www.uspto.gov/web/forms/sb0125.pdf</a>. The completed form can then be transmitted by facsimile to the Electronic Business Center at (703) 308-2840, or mailed to the address on the form. If you are a registered attorney or patent agent, then your registration number must be associated with your customer number. This is accomplished by adding your registration number to the Customer Number Request form. A description of associating a customer number with an application is described at the EBC web page at: <a href="http://www.uspto.gov/ebc/registration-pair.html">http://www.uspto.gov/ebc/registration-pair.html</a>.

The E-Patent Reference feature will be accessed using a new button on the private PAIR screen. Ordinarily all of the cited U.S. patent and U.S. patent application publication references will be available over the Internet using the Office's new E-Patent Reference feature. The size of the references to be downloaded will be displayed by E-Patent Reference so the download time can be estimated. Applicants and registered practitioners can select to download all of the references or any combination of cited references. Selected references will be downloaded as complete documents as Adobe Portable Document Format (.pdf) files. For a limited period of time, the USPTO will include a copy of this notice with Office actions to encourage applicants to use this new feature and, if needed, to take the steps outlined above in order to be able to utilize this new feature during the pilot and thereafter.

During the two-month pilot, the Office will evaluate the stability and capacity of the E-Patent Reference feature to reliably provide electronic access to cited U.S. patent and U.S. patent application publication references. While copies of U.S. patent and U.S. patent application publication references cited by examiners will continue to be mailed with Office actions during the pilot project, applicants are encouraged to use the private PAIR and the E-Patent Reference feature to electronically access and download cited U.S. patent and U.S. patent application publication references so the Office will be able to objectively evaluate its performance. The public is encouraged to submit comments to the Office on the usability and performance of the E-Patent Reference feature during the pilot. Further, during the pilot period registered practitioners, and applicants not represented by a practitioner, are encouraged to experiment with the feature, develop a proficiency in using the feature, and establish new internal processes for using the new access to the cited U.S. patents and U.S. patent application publications to prepare for the anticipated cessation of the current Office practice of supplying copies of such cited

references. The Office plans to continue to provide access to the E-Patent Reference feature during its evaluation of the pilot.

### **Comments**

Comments concerning the E-Patent Reference feature should be in writing and directed to the Electronic Business Center (EBC) at the USPTO by electronic mail at <a href="mailto:eReference@uspto.gov">eReference@uspto.gov</a> or by facsimile to (703) 308-2840. Comments will be posted and made available for public inspection. To ensure that comments are considered in the evaluation of the pilot project, comments should be submitted in writing by January 15, 2004.

Comments with respect to specific applications should be sent to the Technology Centers' customer service centers. Comments concerning digital certificates, customer numbers, and associating customer numbers with applications should be sent to the Electronic Business Center (EBC) at the USPTO by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

### Implementation after Pilot

After the pilot, its evaluation, and publication of a subsequent notice as indicated above, the Office expects to implement its plan to cease mailing paper copies of U.S. patent references cited during examination of non provisional applications on or after February 2, 2004; although copies of cited foreign patent documents, as well as non-patent literature, will still be mailed to the applicant until such time as substantially all applications have been scanned into IFW.

### For Further Information Contact

Technical information on the operation of the IFW system can be found on the USPTO website at http://www.uspto.gov/web/patents/ifw/index.html. Comments concerning the E-Patent Reference feature and questions concerning the operation of the PAIR system should be directed to the EBC at the USPTO at (866) 217-9197. The EBC may also be contacted by facsimile at (703) 308-2840 or by e-mail at EBC@uspto.gov.

Date. 12 1/03

Nicholas P. Godici

Commissioner for Patents